Applying cellular automata to complex environmental problems: The simulation of the bioremediation of contaminated soils

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Abstract

Cellular automata can be applied to modelling the dynamics of spatially extended physical systems, and represent an alternative to the classical PDE approach. In this paper a macroscopic cellular automata model for simulating the bioremediation of contaminated soils is introduced. The choice of macroscopic automata is motivated by the aim to simulate large-scale systems. It is suggested that in some cases, where the basic laws of continuum mechanics cannot be directly applied without adding phenomenological assumptions, and where the equation system is not amenable to analytical solution, direct discrete modelling may represent a convenient alternative to the use of continuum models, followed by numerical discretization. This hypothesis is empirically tested in the bioremediation case.

The model describes the bioremediation of contaminated soils, which relies upon the use of indigenous microorganisms to degrade the contaminant: bioremediation models pose particular challenges as several physical, chemical and biological phenomena interact in a disordered and partially unknown matrix (the soil). The model is hierarchical, and is composed by a fluid dynamical layer, a solute description layer and a biological layer. The model has been tested in a pilot plant, in the case of contamination by phenol. The values of the phenomenological parameters have been determined by the use of genetic algorithms. The model has proven capable to carefully describe experimental results in a wide range of experimental conditions. It has also been run on a MIMD parallel architecture, achieving a high speed-up. It therefore represents an example of application of cellular automata to a real-world problem which has a very high social and economic importance, and where progresses in modelling may greatly improve the effectiveness of the decontamination interventions. © 1999—Elsevier Science B.V. All rights reserved

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1. Introduction

Cellular automata, introduced in the 1950's by von Neumann to study self-reproducing systems [10], have been later used for modelling complex dynamics and physical systems [37,39,40]. In particular, macroscopic phenomena like, e.g. diffusion, which are usually described within a continuum approach, have been actively investigated. Most attempts to apply CA to modelling these physical phenomena have dealt with a "microscopic" approach, where the state variables can take only a limited number of different discrete values [22,37]; for example, the state of a cell represents the presence or absence of a particle with a given momentum at a given point. A similar approach has been applied also to modelling percolation phenomena [3].

In the microscopic approach, the system is considered as composed of a number of discrete particles, which move in the lattice space and interact according to appropriate laws: the laws which rule the system at a macroscopic level are then obtained by averaging over a large number of particles.

This description can be viewed as "more microscopic" than the continuum equations, like the diffusion or Navier–Stokes equations, because the basic tokens of the latter (concentrations, pressures, etc.) are themselves the result of an underlying discrete dynamics, which is directly described in the former approach. This view is close to that of molecular dynamics, but it tries to avoid the computational burden using very simple basic discrete particles and interaction laws, so that the state variables associated to each cell can take only a limited number of discrete values.

We adopt here a different attitude, considering the cells as portions of space which include several pores: it is therefore meaningful to assign to each cell a complex state space, which is the Cartesian product of different subspaces, which may refer, e.g. to the water content, the concentration of a given chemical, the density of a given kind of bacteria, etc. While some of these variables are usually considered as continuous, we will admit their use, assuring the formal compliance with the CA definition by limiting the range of allowed values to a discrete set (as it is always the case when digital simulations are performed). However, in order to avoid unnecessary linguistic burdens, we will refer to these variables in the usual (i.e. continuous) way, always assuming that discretization is performed.

This kind of model is reminiscent of the so-called lattice Boltzmann models [35]; however, our model is macroscopic, and makes use of variables such as water saturation, concentration of a given chemical, etc., while the applications of lattice Boltzmann models to flow in porous media so far developed take a more microscopic approach and aim at describing phenomena which take place at the pore level [1]. Macroscopic CA models can be regarded as intermediate between classical PDE models and the so-called compartment models of flow in soils [9], which provide a simpler description of the processes which take place in soil, by dividing the space into cells of finite size, which are assumed to be in local equilibrium; this latter condition is not required here.

The use of these "macroscopic" CA models seems promising to simulate large systems because they allow one to choose a cell size which is appropriate for the scale
of the simulation. Moreover, they avoid the need for a limiting operation, followed by the introduction of a discrete approximation. Encouraging examples come from the application of this kind of models to landslide and lava flow simulations [6, 21].

Ultimately, the validity of this approach has to be judged by its usefulness, which implies a reasonable ease to develop new models and a good agreement with experimental data. We tried to verify the effectiveness of this approach in the development of a model of the bioremediation of contaminated soils, which is both a challenging scientific problem and a very important environmental technology.

Section 2 contains a short description of bioremediation, while in Section 3 the basic features of the model are outlined. The physical reasons for most model choices are only sketched, and the reader is referred to [15, 17, 19] for a more comprehensive discussion of these aspects.

The model has a three-layer structure, where the first layer describes the fluid dynamical phenomena, the second layer the physico-chemical dynamics of the solutes and the third layer describes biomass growth and those phenomena where microorganisms play a role (e.g. contaminant transformation). The three layers are described in Sections 4–6.

The model has a number of parameters which cannot be (or have not been) experimentally measured, and which can be estimated by comparing simulation results with remediation experiments. This optimization has been performed with genetic algorithms, and the particular version of GAs used here is described in Section 7.

While this paper concentrates on theoretical aspects, it is important to know how the model compares with experimental results: the present model has been able to precisely describe the experimental data obtained on a pilot plant. The model testing which has been performed is limited but meaningful. The major limitations are due to the size of the equipment (a pilot plant of about 1 m³) and to the choice of a single contaminant, namely phenol (which is most frequently encountered in practice). On the other hand, an unusually high number of data has been recorded, which allow a thorough testing of the model; moreover, different experimental conditions have been examined, thus allowing to test the model in cases which had not been used for parameter optimization. While referring the reader to [17, 19] for a more complete analysis, some comparisons are reported in Section 8.

The differences between CA and PDE modelling are discussed in the final Section, together with indications for further model improvements.

2. Soil bioremediation

Soil pollution is one of the major environmental problems in industrial countries: indeed, although present day industrial technologies have achieved high environmental standards, so that in many countries ongoing pollution has been largely reduced, soil contamination has a long decay constant, and it is therefore necessary to remediate the effects of a long period of low environmental care in plant and waste management. Different technologies are available, including physical and chemical ones [12, 31] while
the traditional use of landfills is being discouraged in many countries (landfills displace the problem in space and time, rather than solving it).

The use of biotechnological methods, and in particular of the so-called in situ bioremediation techniques [2, 30, 32], is particularly attractive both economically and environmentally. It is based upon the capability of many microorganisms in the polluted soil to degrade organic contaminants. In most cases, indigenous bacteria are used, so that bioremediation can be viewed as a way to accelerate and amplify natural phenomena.

The stimulation of indigenous microorganisms is achieved by providing them appropriate nutrients (e.g. oxygen, phosphorous, nitrogen, etc.), pH, moisture content, etc., in order to create in the soil environmental conditions which favour the growth and the metabolic activity of those microorganisms which degrade the target contaminant. Our model describes the (frequent) case where the nutrients are provided in aqueous solution.

Summarizing, we will introduce a model for the simulation of in situ bioremediation processes, using indigenous bacteria which are stimulated by percolating an aqueous nutrient solution through the soil.

These models may be very useful to improve the quality of today's bioremediation interventions, as they can allow a more reliable forecasting of the field behaviour, starting from laboratory and pilot plant data. In a typical bioremediation operation, it is necessary in the laboratory to assess whether there are indigenous microorganisms that can degrade the contaminant, to find satisfying conditions for their growth and to assure that highly dangerous intermediate metabolites are not produced. After that, it is necessary to resort to pilot plant studies, in order to determine the effects of the soil matrix, which may profoundly affect the process (let it suffice to consider e.g. the bioavailability of pollutants and nutrients). The pilot plant study can be performed either on a constructed soil, i.e. a vessel which is filled with soil coming from the contaminated site (also called microcosms or mesocosms, depending upon the size) or on a small portion of the site, or both.

The results of the laboratory and pilot plant studies are then used to design the full-scale field intervention. This sequence of tests at different scales is typical of many areas of chemical engineering, and in most of these areas mathematical models are widely used to achieve a proper scale up. In the bioremediation field it is not yet so, and in most cases the process choice are based upon experience and heuristics ("black magic") rather than models. This is partly due to the complexity of bioremediation models. Our model can be regarded as a contribution to the development of reliable models of bioremediation processes, which take into account physical, chemical and biological phenomena.

3. The model

While there are several models describing multiphase flow in porous media and the fate of the solutes (for a review see e.g. [26, 33]), few models exist which describe the wealth of interacting phenomena which take place in bioremediation (see
All these models make use of a continuum approach, while we adopt a discrete model whose general features will now be introduced; further comments on the relationship between the two approaches will be postponed to the final section.

As it was mentioned before, the different phenomena which interact in the system can be grouped into three classes; the model has a layered structure which reflects this division.

- The lower layer is the fluid dynamical one, which describes the flow of different fluid phases in a porous medium (the soil). The motion of one or more liquid or gaseous phases can be completely described at this level.

- A second layer describes the physical and chemical fate of the solutes, including hydrodynamic dispersion, interactions of the solutes with other solutes as well as with chemicals which may be present in the soil, and the processes of adsorption and desorption to and from the pore walls. The contamination of soil by a chemical or a mixture of chemicals can be described at this level.

- The third layer is the biological one, and it describes the interaction of biomass with its environment: the growth of microorganisms which depends upon the availability of nutrients, the disappearance and the appearance of chemicals which depends upon the metabolic activity, the interaction among different bacterial populations. A complete model should take into account also the possible modifications of the flow pattern which can be caused by huge bacterial growth leading to a reduction of the pore space available for the fluids.

Note that this model can be regarded as "layered" in a specific sense, which differs from the one used by [4]. In the present case, there is no hierarchy, like e.g. the one which might derive from progressively coarser graining; instead, the fluid dynamical layer is regarded as more fundamental as it is a necessary part of every meaningful model. For example, if we were to consider the flow of pure water in a sterile porous medium composed by water beads, the lower layer would be able to fully describe the phenomenon. If we add chemicals, either as solutes in the fluid phases or desorbed from the soil, which is still sterile, then a model with layers 1 and 2 would be needed. If we relax the sterile soil assumption, then all the three layers are needed. Even in this latter case (which is the one involved in all the experiments shown below), the behaviour of the variable of an "upper" layer may sometimes be irrelevant, and a simpler model suffices to describe the variables of interest (consider e.g. the percolation of a dilute aqueous solution, which is described to a very good approximation by layer 1 alone).

While the above framework is a general one, which should be applicable to any bioremediation process, this work describes a specific case, namely that of a phenol contaminated soil. The major simplifications of the general model structure, which have been applied in this case, are the following:

- **Two-phase flow**: Only the flow of a gaseous phase (air) and a liquid phase (aqueous solution) has been considered. If the contaminant had been less soluble, a third non-aqueous liquid phase might have been present (like it is often the case e.g. in the case of hydrocarbon contamination).
• **No chemical reactions**: There are no indications of relevant chemical reactions taking place in the system; anyway, the introduction of these reactions in a CA scheme would be straightforward, as they take place within each cell.

• **No predator-prey interactions**: The bacterial population has been divided into three classes, according to their behaviour with respect to the chosen contaminant. No attempt has been made to provide a more detailed description of the different species involved and of their mutual interactions. This simplification seems to be of wide applicability.

• **No pore clogging**: The growth of microorganisms does not appreciably change the fluid dynamical properties of the soil.

Apart from these limitations, it should be stressed that the model is very ambitious, as it aims at describing a wealth of different interacting phenomena.

As it was mentioned, we will not try to describe the phenomena which take place at the pore level, but will make use of macroscopic cellular automata, where each cell comprises several pores.

We are interested in describing phenomena which occur in a finite region, where some very important phenomena happen on the borders, as is the case for the example of the upper soil layer in bioremediation by spraying a nutrient solution; the phenomenon is induced by a flow of nutrients through the system boundaries. While the PDE approach has extensively dealt with the study of boundary conditions, most CA models involve infinite systems. In order to properly formalize the model for a finite region, we will introduce a non-homogeneous cellular automata [41], which represents the simpler and more natural approach. Let us notice that one could also describe the system within a homogeneous CA formalism, at the expense of a more complicated state space and transition function. In the following, we will always consider formally infinite automata, and the inhomogeneities of the boundaries will allow us to consider phenomena which take place in a finite region.

The specification of a cellular automaton (CA) involves its topology, its state space and its transition function [27]; formally [20, 23] a CA is a quadruple $A = (G, V, Q, f)$, where

- $G$ is the cellular space; usually $G \subseteq \mathbb{Z}^d$, the set of points with integer coordinates in a $d$-dimensional Euclidean space (in order to deal with finite cellular spaces, one sometimes uses toroidal topologies, see e.g. [40])
- $V$ defines the set of neighbours of each cell: $V = \{\xi_1, \xi_2, \ldots, \xi_m\}$, where all the $\xi_k$'s are $d$-dimensional vectors with integer coordinates; without loss of generality, we will consistently adopt the convention that $\xi_1 = (0, 0, \ldots, 0)$ always; the neighbourhood of cell $i$, $N(i)$, is $N(i) = \{i + \xi_1, i + \xi_2, \ldots, i + \xi_m\} \cap G = \{i, i + \xi_2, \ldots, i + \xi_m\} \cap G$; the number of elements in $N(i)$ will be denoted $m(i) \leq m$.
- $Q$, the state space, is a discrete set; in the present case, as it has been stressed, we will assume that the cardinality of $Q$ can be high, to account for discretized versions of variables which are considered continuous like, e.g. contaminant concentration.
- $f$ is the transition function; let $Y = \{y : G \to Q\}$; each function $y \in Y$ defines a possible state assignment in $A$, and will be called a configuration of $A$; let $y(i)$ be
the state of cell $i$ in configuration $y$, and let $\varphi_i : Q^m(i) \to Q$; $\varphi_i(y) = \varphi_i(y(k) | k \in N(i))$ be the transition function for the elementary automaton, i.e. a rule which determines the state of cell $i$ at time $t+1$ from the states of the cells in $N(i)$ at time $t$. Then the overall transition function $f : Y \to Y$ is given by $f(y) = \{ \varphi_i(y) | i \in G \}$. Whenever $\varphi_i$ has the same functional form for every $i$, and $N(i)$ has the same shape for every $i$, the automaton is homogeneous, while we will consider in the following also inhomogeneous systems, where inhomogeneities come from the existence of boundaries, so that cells belonging to the boundary, or close to it, have a set of neighbours which differs from that of the cells in the bulk of the CA.

Let us also define the notion of quiescent state: a state $x$ of an automaton $A$ is quiescent if $\varphi(x, x, \ldots, x) = x$, which means that if all the cells of the neighbourhood of cell $i$ are quiescent at time $t$, then $i$ will be quiescent at time $t+1$.

In our case the CA will be called $A_{\text{soil}} = (G, V, Q, f)$.

The model is three-dimensional: $G = \{ r | r \in Z^3 \mid 0 \leq r_x \leq L_x; \ 0 \leq r_y \leq L_y; \ 0 \leq r_z \leq L_z \}$. This simple choice allows one to describe phenomena which take place in a simple parallelepipedal geometry, like those which have been used for experimental purposes, while obvious modifications would be needed for different geometries.

$G$ can be partitioned into disjoint regions which reflect the physical conditions of the system to be modelled:

$$G = G_{ub} \cup G_{ib} \cup G_{w} \cup G_{b}$$

where

- $G_{ub} = \{ r | r \in Z^3 \mid 0 \leq r_x \leq L_x; \ 0 \leq r_y \leq L_y; \ r_z = L_z \}$ is the upper boundary of the system (physically: the upper layer of soil cells);
- $G_{ib} = \{ r | r \in Z^3 \mid 0 \leq r_x \leq L_x; \ 0 \leq r_y \leq L_y; \ r_z = 0 \}$ is its lower boundary (physically: the lower layer of soil cells);
- $G_{s} = \{ r | r \in Z^3 \mid \{0 = r_x \} \quad u(r_x = L_x)u(0 = r_y)u(r_y = L_y); \ 0 \leq r_z \leq L_z \}$ denotes the system lateral boundaries (physically: the soil cells which are close to the lateral impermeable walls);
- $G_{b} = \{ r | r \in Z^3 \mid 0 < r_x < L_x; \ 0 < r_y < L_y; \ 0 < r_z < L_z \}$ is the set of the system’s internal cells.

The neighbourhood $V$ of each cell is composed by itself and by six other cells (up, north, east, south, west, down); using relative coordinates:

$$V = \{(0,0,0),(0,0,1),(0,1,0),(1,0,0),(0,-1,0),(-1,0,0),(0,0,-1)\}.$$ 

The state space $Q$ is the cartesian product of different subspaces

$$Q = Q_w \times Q_{\text{cap}} \times Q_{\text{gra}} \times Q_{\text{mph}} \times Q_{\text{mhb}} \times Q_{\text{mdb}} \times Q_{\text{rdb}}$$

where $Q_w$ is the water content in the cell, $Q_{\text{cap}}$ is the “capillary water” substrate, i.e. the water which is held by capillary forces (see Section 4), $Q_{\text{gra}}$ is the “gravitational water” (see Section 4), $Q_{\text{mph}}$ accounts for the mass of contaminant adsorbed or precipitated in
the cell per unit mass of dry solid, \( Q_{cph} \) accounts for the contaminant concentration in the cell water, \( Q_{mb} \) accounts for the concentration of bacteria which are not resistant to the contaminant, \( Q_{mbb} \) accounts for the concentration of those bacteria which are resistant to the contaminant but are not able to degrade it and \( Q_{db} \) refers to those bacteria which are able to resist to and to degrade the contaminant. As it has been mentioned, it is assumed that each of these variables can take a (large but) finite number of discrete non-negative values.

The main features of the deterministic transition function \( f : Q^I \rightarrow Q \), i.e. the law which determines the state of a cell at time \( t + 1 \) from the state of its neighbouring cells at time \( t \), are described in Sections 4–6.

Updating is synchronous; if \( X_i(t) \in Q \) is the state of cell \( i \) at time \( t \), and \( N(i) \) denotes the neighbourhood of cell \( i \), then for each \( i \in G \) and each time \( t > 0 \): \( X_i(t + 1) = f(X_j(t)), j \in N(i) \).

In order to describe the boundaries of the experimental vessel, boundary cells are given a neighbourhood different from the bulk cells (due to the intersection of \( G \) and \( V \)) and also a different transition function; for example, the upper layer can take an inflow of water from the outside, while the lower layer describes the collecting apparatus at the bottom of the pilot plant, and the lateral boundary cells do not allow lateral flux through the impermeable walls. More details can be found in [17].

4. The fluid dynamical layer

Let us consider what happens when water saturation \( S_w \) (which is defined as the ratio of volume of water to the volume of voids) increases, in the case where water is the wetting phase. When \( S_w \) is low, a minimum quantity of water surrounds the grains of soil, there are no connected paths and water is therefore immobile. As water saturation increases, it covers the pore walls, until connected paths are formed, so that water can flow; in this condition, water is mainly influenced by its microenvironment (surface forces, etc.). If water saturation increases further, the water motion is easier and gravitational effects become important.

The main phenomenological assumption of the fluid dynamical model, which is based upon several experimental studies of imbibition and drainage [5], is therefore that different kinds of water can be identified in a single cell:

- immobile (or irreducible) water, which cannot flow
- capillary water (also called diffusion water), which can flow unaffected by gravity
- gravitational water, which can flow more rapidly under the action of gravity.

Moreover, as in some cases macroscopic fissures can be found, these can also be described in a way similar to gravitational water, but with a faster flow rate.

An important problem concerns the way how the overall water (the only physically measurable quantity) is divided into the three kinds of water: from the above qualitative description, the rule is induced that all the water is regarded as immobile until a certain threshold is met. Above this level, water is capillary until a second threshold is found.
Above this latter threshold, water is considered gravitational (if appropriate, part of the water can be regarded as fissural).

The relationship among the different kinds of water is described in Fig. 1.

In the following, unless otherwise stated, fissures will not be taken into account.

According to the model, the motion of capillary water tends to equalize its value in neighbouring cells. This equalization is achieved with a CA rule which distributes the excess water of a given cell among those of its neighbours whose water content is smaller than the local average water content. The procedure for capillary water is shown below.

```
Procedure new_capillary_water_flow (...) 

/* "0" is the central cell */
/* "1", "2",... are the other cells in the neighbourhood */
/* "w[i]" is the capillary water content of the i-cell */
/* "max_w[i]" is the maximum quantity of capillary water content (of the i-cell) */
```
/* "new_outfc[i]" is the capillary water flow toward the i-cell */

/* Individuation of the neighbouring cells (the so-called "eliminated cells") */
for i from 1 to 6 do:
    eliminated[i] := FALSE

do:
    new_control := FALSE
    sum_w := w[0]
    count := 1
    for i from 1 to 6:
        if NOT eliminated[i]
            sum_w := sum_w + w[i]
            count := count + 1
            average_w := sum_w/count
    for i from 1 to 6 do:
        if (w[i] > average_w) AND (NOT eliminated[i])
            new_control := TRUE
            eliminated[i] := TRUE
    until new_control = TRUE

/* Computing the values of the outflows toward the not eliminated cells */
for i from 1 to 6 do:
    if NOT eliminated[i]
        new_outfc[i] := average_w - w[i]
    else
        new_outfc[i] := 0

/* Correction of the outflow computed values according to the clock performed */
/* by the constant cap_rate */
for i from 1 to 6 do:
    new_outfc[i] := new_outfc[i]/cap_rate

/* Correction of the outflow computed values according to the ratio of the */
/* capillary water inside the cells to the maximum quantity of capillary water */
/* See Fig. 3 for a representation of sigma function */
for i from 1 to 6 do:
    new_outfc[i] := new_outfc[i] * sigma(w[i]/max_w[i)]

........................
Let us illustrate the main features of the algorithm; first of all, for each cell, a maximum quantity of transmissible water is computed as follows.

Let $V_i$ denote the neighbourhood of cell $i$, which is composed by $N_i$ cells, and let $q_i$ denote the capillary water in cell $i$; an average $\langle q \rangle_i$ between all the cells of the neighbourhood is first computed:

$$\langle q \rangle_i = \frac{1}{N_i} \sum_{j \in V_i} q_j.$$ 

The neighbouring cells whose water content is higher than such average are identified and discarded. Let $V_i^{(1)}$ be the subset of $V_i$ composed by the $N_i^{(1)}$ cells which have not been eliminated:

$$V_i^{(1)} = \{ k; q_k \leq \langle q \rangle_i \}.$$ 

Then the average between the remaining cells is computed:

$$\langle q \rangle_i^{(1)} = \frac{1}{N_i^{(1)}} \sum_{j \in V_i^{(1)}} q_j.$$ 

The process is iterated until no more cells are found which are higher than the average (so that $V_i^{(k+1)} = V_i^{(k)}$); the value of this latter average is attributed to the central cell and to all the cells which have "survived", as shown in Fig. 2.

The algorithm here described is not limited to the case of capillary water, and can be applied to describe several processes where a conserved quantity tends to a
homogeneous equilibrium distribution. It has already been applied to describe landslide dynamics, lava flow and heat diffusion [6, 21, 29]. It has also been shown that in some cases this algorithm provides a good approximation to the solution of the heat diffusion equation [29].

The quantity computed according to the previous algorithm is the maximum (capillary) water which can flow from the central cell; however, if we let all this water flow at once, we would implicitly fix the clock of the CA so to match the time constant of the capillary water flow, and we would thus loose the possibility to describe the actual system kinetics. Therefore, at each CA time step, only a fraction $k_{cap}$ of the excess water so computed actually flows: kinetic parameters of this kind allow the description of phenomena which have different time constants (e.g. capillary water flow is slower than gravitational water flow).

It is well known [7] that the flow rate of a given phase depends upon its saturation level. Based on phenomenological considerations and experimental data about the so-called relative permeability curves, the dependence of the flow rate coefficient upon saturation has been assumed to be S-shaped, and it has been approximated by a three straight line shape like that depicted in Fig. 3.

The gravitational water simulates the water fall from the superior cell to the inferior cell under the action of gravity (or, more generally, a flow driven by a difference in hydraulic head). A coefficient of relative permeability for each cell is calculated: the flow of water to the inferior cell is proportional to the smaller of the two permeabilities and in each case cannot be higher than the receptivity (i.e. the quantity of water that a cell can accept) of the inferior cell.
The procedure is listed below.

```plaintext
Procedure new_vertical_gravitational_flow ()
  /* "0" is the central cell */
  /* "6" is the inferior cell */
  /* "tot_w[i]" is the total water content of the i-cell */
  /* "por[i]" is the porosity of the i-cell */
  /* "perm[i]" is the permeability of the i-cell */
  /* "gw[i]" is the gravitational water content of the i-cell */
  /* The correction of the outflow computed values according to the clock is performed by the constant grav_rate */

  perm[0] := sigma(tot_w[0]/por[0])
  perm[6] := sigma(tot_w[6]/por[6])
  if perm[0] < perm[6]
    perm_min := perm[0]
  else
    perm_min := perm[6]
  max_flow := perm_min * gw[0]
  if max_flow < receptivity[6]
  else
```

For the same reasons just discussed for capillary water, also the gravitational flow rate constant depends upon saturation in a way similar to that of Fig. 3 (a S-shaped function with different parameters – see Fig. 3).

The procedure for fissural water flow will not be given here, as the examples described later refer to the case without fissures; it can be found in [17].

5. The dynamics of chemicals

The behaviour of the different chemicals which are transported by the aqueous phase (i.e. nutrients, contaminants, others) is described at this level. Solutes are transported by the water flowing in the system. However, there are also interactions with the pore walls and with the water which was already present in the cell. The adsorption/desorption process has been modelled by first-order kinetic equations, where the adsorption (respectively, desorption) rate to (from) the pore walls is proportional to the contaminant bulk concentration (adsorbed concentration).
It should also be recalled that immobile water can play a key role in contamination and remediation. Let us describe only the contamination phase, where an aqueous contaminant solution percolates through the soil (actually, in the test apparatus, the amount of water used for contamination was smaller than the overall immobile water of the soil): according to the spirit of the CA approach, only two kinds of water are considered, contaminated water and not contaminated water (Fig. 4). In order to describe the kind of experiments performed, it has been assumed that only contaminated water flows from one cell to another (of course, if it were necessary to describe different phenomena, like the flow of pure water through a contaminated soil, this simplification should be avoided). It is assumed that, as time passes, the contamination front advances within the cell, so that a larger portion of water becomes contaminated; mass balance is assured by determining the contaminant concentration as the ratio between the quantity of contaminant and the quantity of contaminated water in the cell.

The procedure for contamination is listed below.

```plaintext
Procedure new_solute_concentrations (...)
/* "0" is the central cell */
/* "tot_w[i]" is the total water content of the i-cell */
```
The introduction of chemical reactions is straightforward, as these reactions take place within each cell and do not involve transport among neighbours. In the present version of the model we limited to monitor the disappearance of phenol, without providing a quantitative description of the degradation path and of the intermediate metabolites.

6. Biological phenomena

In environmental microbiology one is usually faced with microbial consortia, composed by different species, instead of pure cultures which are typical of the laboratory or engineered bioreactors. Determining the different bacterial strains and their relative proportion would require long tests; moreover, these proportions undergo major changes in time, and microorganisms grown in laboratory conditions (like in plate counting techniques) may lead to results which differ from those which are found in situ [34]. Therefore, it is necessary to resort to a more lumped description. While most existing models limit to one single kind of bacteria, whose parameters represent the collective behaviour of the consortium, we considered three kinds of microorganisms: those which are able to degrade the contaminant, those which are able to survive...
in the presence of the contaminant, but not to degrade it, and those which are killed by the contaminant.

The time evolution of these different populations can be at least roughly estimated, from an experimental viewpoint, using plate counting techniques (although these may underestimate the real number of bacteria sometimes even by two orders of magnitude [31]) on selected culture media: for example, the degrading population is estimated by using the medium where the chosen contaminant is the only carbon source, the resistant population is estimated by using a medium where the contaminant has been added, etc. (see [5] for further details).

The evolution of degrading bacteria in each cell is ruled by the following law:

$$B_d(t + 1) = B_d(t)(1 + K_{Nd}) - \beta_d B_d(t)^2 + \alpha B_d(t) \frac{F(t)}{A + F(t)} - K_{Md} B_d(t) F(T), \quad (6.1)$$

where $B_d(t)$ is the concentration of degrading bacteria at time $t$, $F$ is the contaminant concentration in the soil, $\alpha$ and $A$ are the coefficients of the Monod growth term (Monod kinetics has been supposed to be appropriate for phenol metabolization in the concentration ranges which have been experimentally investigated, see also [38]), $\beta_d$ is the coefficient of the generic death term for bacteria, $K_{Nd}$ is the coefficient of the birth term, $K_{Md}$ is the coefficient of the death term caused by contaminant (the chosen contaminant, phenol, has long been used for disinfection purposes). When contamination by phenol is simulated, the phenol-related death term is immediately active, while the Monod growth term does not immediately become active, because the bacteria need some time to activate the appropriate metabolic pathway (like e.g. in substrate induced enzyme synthesis). This delay is modelled by a “clock”, so that the coefficient of the Monod term takes its nonzero value only after a suitable time interval has elapsed since the appearance of phenol in the cell. Similar remarks apply to the role of water: when the soil is very dry, only a few “survival forms” resist for a long time, but when water is added the bacterial population starts to grow. This is also modelled by a “clock” operating on the birth rate term.

The disappearance of contaminant in a cell, due to bacterial metabolism, is

$$F(t + 1) = F(t) - \alpha'B_d(t) \frac{F(t)}{A + F(t)}, \quad (6.2)$$

where $\alpha'$ is the phenol consumption rate ($\alpha' > \alpha$, as not all the carbon coming from phenol is used to produce new biomass).

The law for the phenol-resistant (but not degrading) bacteria is similar to that of Eq. (6.1) without the Monod term:

$$B_r(t + 1) = B_r(t)(1 + K_{Nr}) - \beta_r B_r(t)^2 - K_{Mr} B_r(t) F(t). \quad (6.3)$$

The form of the equation for the non-resistant bacteria $B_n(t)$ is similar to that of the resistant but not degrading ones $B_r(t)$, the only difference being that the phenol-related death term is larger ($K_{Mr} \ll K_{Nd}$) so to lead to an almost vanishing final concentration in the case of high contamination.
The effect of nutrients has been modelled as an influence upon the kinetic parameters of the growth equation. For example, as the phenol degradation pathway is aerobic, it is assumed that the coefficient of the Monod growth term $\alpha$ be a growing function of the available oxygen concentration.

As far as bacterial motion is concerned, although it is known that bacteria can sometimes move along with the fluid flow, either as individuals or colonies (see [26] for a review), and that more complicated behaviours have also been observed, like e.g. chemotaxis, which implies a selective movement towards the regions of higher concentration of a given chemical, we took an "Occam razor" approach and choose to try to keep the model complexity at a minimum level, compatible with experimental data: therefore bacteria are assumed to be immobile, bound to the pore walls. Bacterial transport in the aqueous phase is supposed to be negligible.

7. Optimization methods

As there are in the model some parameters which cannot be directly measured or derived from first principles, it is necessary to estimate them by comparing simulation results and experimental data and applying a suitable optimization method.

In this work we resorted to the well known genetic algorithms [24,25], as they are not bound to get stuck in local minima like, e.g. gradient descent techniques and do not require that the cost function to be minimized possess any peculiar property (like continuity, differentiability, etc.). The parameters are coded in binary form, so that each set of parameter values represents an individual, and a random initial population is generated. The genetic algorithm then tries to produce new generations of individuals of higher fitness, i.e. able to give a closer agreement between simulations and experiments, by applying the classical genetic operators (i.e. selection, crossover and point mutation). In this work the selection probability is proportional to the fitness $f$ of each individual, which measures the "goodness" of the simulation performed with those parameter values, and is defined as follows. Let $S$ and $O$ be two $M$-dimensional vectors whose components are the values, at $M$ time steps, obtained in the simulation ($S$ vector) and in the pilot plant experiment ($O$ vector). The fitness function is then defined as the (normalized) square of the euclidean distance between these two vectors:

$$f = \|O - S\|^2/\|O\|^2.$$  

The crossover operator which has been used is the classical single point crossover, while point mutation is introduced by mutating the value of each single bit with a fixed probability (mutations are independent of each other).

In order to prevent the risk of premature convergence to a suboptimal solution which may affect genetic search, competition for reproduction is limited to subsets of the whole population. For this purpose, the individuals are regarded as distributed in space, interacting at each time step only with their neighbours and not with distant individuals. More precisely, the individuals are arranged on a two-dimensional lattice (with wrap around, thus giving rise to a toroidal topology); at each step an individual...
is chosen (either in a probabilistic way or in a sequential deterministic way) and its neighbourhood is isolated from the remaining population: selection, crossover and mutation will only act on the selected zone. The children strings that will have been generated from the genetic operators will come in conflict only with the individuals of the subpopulation and (if fairly strong) they will replace a part of it; after this, a new individual will be chosen, and the same procedure will be applied, a.s.o. A more precise description of the algorithm is given below, while further details can be found in [19].

```plaintext
Procedure genetic (...)
/* "tag.x", "tag.y" are the central coordinates of the new subset of the population */
/* "neighbours[i]" is the i-individual of the neighbourhood */
/* "random(x,y)" return a integer value between x and y */
/* "n_identify(...)" individuate the neighbouring individuals */
/* "end_criterion(...)" checks the condition of end program */

control:=FALSE
do:
tag.x:=random(0,XMax)
tag.y:=random(0,YMax)
neighbours:=n_identify(population)
genetic_action(tag.x, tag.y, neighbours)
control:=end.criterion(population)
until control = TRUE

End.

Procedure genetic_action(...)  
/* "mate1", "mate2" are the two new children strings */
/* "objective" is the position of the competitor string of the two new strings */
/* "neighbours[i]" is the i-individual of the neighbourhood */
/* "select(...)" returns an individual, selected proportionally to its fitness */
/* "select_bad (...)" returns an individual, selected in inverse ratio to its fitness */
/* "cross(...)" effects the single point crossover */
/* "calculate_fitness(...)" return the fitness of an individual */
/* "winner(...)" select a winner string, proportionally to its fitness */

mate1:=select(neighbours)
```
mate2:=select(neighbours)
cross(mate1, mate2)
mate1:=mutation(mate1)
mate2:=mutation(mate2)
fit[1]:=calculate_fitness(mate1)
fit[2]:=calculate_fitness(mate2)
extreme:=select_bad(neighbours)
fit[3]:=calculate_fitness(neighbours[objective])
neighbours[objective]:=winner(fit, mate1, mate2, neighbours[objective])

End.

The layered structure of the model also allows one to optimize subsets of parameters in different phases: in this way a large search space can be broken in more manageable portions. We first simulated the fluid dynamical layer alone, thus optimizing the values of the relevant parameters, then those of the contamination experiments, so to calibrate the parameters of the second layer, and finally those of the bioremediation experiments. In this way, at each stage a limited number of parameters was involved, and the length of the corresponding chromosome remained manageable. Further details can be found in [17, 18].

8. Comparison with experimental results

Several experiments have been performed on pilot scale constructed soils, i.e. on two twin vessels of about 1 m$^3$, filled with soil, which came from an industrial site which had been contaminated by phenol for several years, and which had already undergone a successful field scale bioremediation intervention. Two kinds of experiments have been performed, the first referring to the contamination process and the second to the (engineered) bioremediation process. In the first kind of experiments, an aqueous phenol solution was percolated through the soil, while in the subsequent bioremediation phase an aqueous nutrient solution was percolated in order to stimulate the activity of indigenous bacteria. Further details can be found in [5].

The two twin pilot plants were contaminated in two slightly different ways, i.e. in a case with an instantaneous flooding from the upper side of the container, and in the other case with a slower flow generated by a spraying system (in this case the same amount of water was provided in about 45 min).

The bioremediation experiments were based on the use of a nutrient solution which was sprayed on the soil, while field operations are usually performed in a closed loop, where percolated water is collected by wells, added with oxygen and nutrients and re-injected in the field, the tests were performed in an open loop way to ease model
validation. In order to assess the role of nutrients, while one container was sprayed with a nutrient-rich solution, the other was sprayed with water only.

Fig. 5 shows a comparison of model and experimental results concerning the total percolated water on a long time scale (in the contamination experiment). Fig. 6 shows a similar comparison on a short time scale: it can be seen in both cases that the two differ at most by a few per cent. As integrals tend to smooth differences, in order to provide a more demanding test, the flows are shown in Fig. 7, where it can be seen that the model predictions are accurate. Fig. 8 reproduces the short time data for the case of contamination by rain.
While the above data all refer to contamination experiments, it is interesting to note that the model proved able to describe also the percolation dynamics in the bioremediation phase (Fig. 9), where the amount of water added every day was much smaller than that of the contamination phase, without further parameter modification.

Also for the phenomena described by the other two layers, the simulation proved able to match very closely the experimental results. While a detailed comparison is provided in [17, 19], to which the interested reader is referred, the major accomplishments have been:

- a precise description of the outflow of phenol with drainage water, in different conditions (flooding vs. rain, different initial values of soil saturation)
- a behaviour of phenol in soil which matches the main observed experimental features, i.e. (i) phenol density decreases with increasing depth, at each time, and (ii) it has a faster decay rate in the upper soil layers; the combined effect of the two leads
to an almost simultaneous disappearance of phenol in all the soil layers (a precise quantitative comparison between model and experimental results was not allowed by the latter's high variability in different points)

- a behaviour of the concentration of bacterial populations which closely follows the one observed experimentally, under different conditions including water introduction in a dry soil, contamination by phenol and remediation by a nutrient solution.

9. Conclusions

The agreement between experimental data and simulation results is remarkable, taking into account the complexity of the system under study and the fact that the phenomena are fully non stationary. This agreement provides an a posteriori test of the validity of the cellular automata approach.

Let us come back to the comparison of CAs and PDEs. In continuum models, a macroscopic approach is also taken; it is assumed [7] that a representative volume element exists, which is small enough (with respect to the scale length of the phenomena of interest) to allow a meaningful limiting operation $\Delta V \to 0$, yet large enough to comprise several pores so to allow the use of average quantities which vary smoothly in space. The water content of a cell at a given point would then be the average of the water content on a number of neighbouring pores, and it would therefore be a smoothly varying quantity.

The PDEs are obtained by performing mass and momentum balance on a volume element $\Delta V$ for a small time $\Delta t$, and then letting both $\Delta V$ and $\Delta t$ tend to zero.

Constitutive equations are also used, like e.g. Darcy law or its multiphase analogous. If it were possible to integrate the Navier–Stokes equation this would not have been
necessary, but the disordered nature of soil and the lack of information on its internal structure makes it necessary to resort to empirical laws.

The major difference with respect to the discrete approach described in this paper lies in the limiting operation. This has of course the advantage of eliminating any dependence on the space scale (provided that the conditions for a REV are fulfilled) and on the shape of the cell. If analytical solutions could be found, the advantage of the continuum approach would be overwhelming. However, for systems as complex as those which are considered here, this is not the case, so that, in the continuum approach, a limit for $\Delta V$, $\Delta t \to 0$ is followed by a discretization with finite time and volume increments. It is likely that these combined operations do not provide any particular advantage with respect to discrete modelling, in the case of soil remediation. Here, we have only provided empirical arguments in favour of this hypothesis, and further investigation would be needed to assess its validity and to better understand which phenomena lend themselves to such a discrete approach.

Moreover, there is a subtle difference between CA-based and PDE-based modelling: when using a CA model, one is free to adopt phenomenological assumptions which may be well suited for the case, but uncommon in PDE modelling. Let us consider, e.g. the case of the bacterial clocks, which are mentioned in Section 6, like the phenol clock: the coefficient of the Monod growth term for degrading bacteria, related to contaminant concentration, is nonvanishing only after a certain time has elapsed since the appearance of phenol (even for a bacterial flora which is already adapted to the contaminant). This behaviour is well known to biologists as "lag phase". In a PDE system, this could be simulated by introducing a discontinuous coefficient (e.g. a Heaviside function), or approximating it with some S-shaped steep function. In both cases, numerical instabilities might arise, so that modellers tend to avoid these terms (which are absent in the existing models, reviewed in [11,26]). While they may not be necessary under some operating conditions, they are needed to describe some transient phenomena which have been observed.

The presence of modifiable parameters, although it may be disturbing, it basically unavoidable in this kind of macroscopic models of complex interacting phenomena. Let us also recall that the testing has been very demanding, involving different experimental settings and conditions, so that we think that the good results achieved are not just "a matter of fitting". During model development we often could not achieve a satisfactory performance until we identified the dominating physical phenomena: for example, before understanding the crucial role of immobile water on the fate of the contaminant, it had not been possible to provide good results for the phenol concentration in drainage water, no matter which adsorption/desorption coefficients were chosen.

Let us also remark that the model described here has been implemented on a MIMD parallel computer, achieving a very high efficiency (80-90% with up to 32 processors) [16]. This result proved that this class of models can be efficiently run on parallel hardware.

Let us recall that the model described here is well suited for the case which has been also experimentally investigated, namely contamination by phenol, while further
terms should be introduced to deal with a wider set of cases; some of them are listed below.

- It is necessary to consider different soil types.
- It is necessary to consider different contaminant types. In particular, hydrocarbons can give rise to a three phase case (air, water, oil) and the model needs to be modified accordingly.
- The bacterial equations are fairly classical, and better models of bacterial dynamics might improve the overall model.
- In some cases bacterial growth can alter the fluid dynamical properties (pore clogging), and this phenomenon is not yet present in the model.
- Mobile bacteria (including, if necessary, chemotaxis) could be introduced.

All these model improvements can be inserted in the framework presented here. The major issue which is still open concerns the accuracy of the scaling of the model to the field, which of course requires expensive large scale measurements. The tests which have been performed, albeit on a limited scale, are however very stringent, and have provided a thorough testing set. We believe that these results, which show how even such a complex phenomenon can be precisely described by a model, can facilitate the diffusion of model based techniques in the sector of *in situ* bioremediation, which is still largely dominated by a highly empirical approach.

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